

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor: Li-Wen Chen

Appl. No.: 09/483,385

Confirm. No.: 8308

Filed: January 13, 2000

Title: METHOD FOR VISUALIZING INFORMATION IN  
A DATA WAREHOUSING ENVIRONMENT

PATENT APPLICATION

Art Unit: 3694

Examiner: Ella Colbert

Customer No. 23910

**CERTIFICATE OF TRANSMISSION/MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being electronically transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**, on the date shown below.

/Susan Boucher/

Susan Boucher

Signature Date: May 23, 2007

\_\_\_\_\_(Signature)

**TRANSMITTAL LETTER RE INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ As allowed under 37 C.F.R. § 1.98(a)(2)(ii), no copies of the U.S. patents and U.S. patent application publications are enclosed, unless required by the office.

***This statement should be considered because:***

- \_\_\_\_\_ **37 C.F.R. §1.97(b)**. This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
- (1) It is being filed within three months of the filing date of an application other than a continuing prosecution application under § 1.53(d);

- OR --
- (2) It is being filed within 3 months of entry of a national stage;
- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

✓ **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- (a) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(c).

-- OR --

- ✓ (b) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325.

Respectfully submitted,

FLIESLER MEYER LLP

Date: May 23, 2007

By: /Justas Geringson/  
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Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				Attorney Docket Number <b>HHPI-01000US1</b>		Serial/Patent Number <b>09/483,385</b>	
<b>Information Disclosure Statement</b> <b>BY APPLICANT</b> <i>(Use several sheets if necessary)</i>				Applicant/Patent Owner <b>Li-Wen Chen</b>					
				Filing/Issue Date <b>January 13, 2000</b>				Group Art Unit <b>3694</b>	
<b>U.S. PATENTS</b>									
Examiner Initial		Patent Number	Issue Date	Inventor(s)	Class	Subclass	Filing Date		
		6,161,103	12/12/00	Rauer et al.					
<b><u>OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)</u></b>									
		Inmon, W. H., "The Data Warehouse and Data Mining," Communications of the ACM, Vol. 36, No. 11, pages 49-50 (November 1996).							
		Bontempo, C., et al., "The IBM Data Warehouse Architecture," Communications of the ACM, Vol. 41, No. 9, pages 38-48 (September 1998).							
		Business Wire, "SelectStar Ships StarTrieve Version 1.5, Relational OLAP Tool Offers Power and Simplicity," October 19, 1995, 2 pages.							
		Krippendorf, M., et al., "The Translation of Star Schema into Entity-Relationship Diagrams," IEEE, pages 390-395 (September 1997).							
<b><u>Examiner</u></b>				<b><u>Date Considered</u></b>					
<b><u>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</u></b>									
<b>*1 = Copy not submitted because it was submitted in prior application SN /_____, filed _____, 20____, relied on under 35 USC §120.</b>									
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